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Practitioner's Docket No. 782_141

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
 Assistant Commissioner for Patents
 Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on December 15, 2000 under "EXPRESS MAIL" mailing label number **EL692672925US**.

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Transmitted herewith for filing is the patent application of:

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Title: TRAVELING WAVE OPTICAL MODULATORS

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification
2 Page(s) of Claims
1 Page(s) of Abstract
4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
☒ A Preliminary Amendment
☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and a certified copy of 11-355,335 filed December 15, 1999 in Japan
☒ An Information Disclosure Statement
☒ PTO Form-1449
☒ An Assignment Transmittal and Assignment of the invention to NGK Insulators, Ltd.

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2. Small Entity Status

☐ Applicant claims small entity status. See 37 CFR § 1.27.

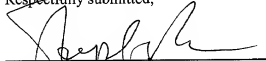
3. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
- B. Fees for Claims (6 Claims; 1 Indep.)
☐ each independent claim in excess of 3
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00) \$.00
☐ each claim in excess of 20
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$.00
☐ multiple dependent claim(s)
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00) \$.00
- C. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 750.00**

4. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☒ A check in the amount of \$750.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



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December 15, 2000

Date

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